# Methodology for Evaluating Research Organisations M17+

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### **Content of presentation**

- Introduction
- State of The Art
- Methodology 17+
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#### Introduction

#### What exactly is the science evaluation?

The European Commission definition is:

- "Evaluation is a process by which the quality, implementation, target relevance and impacts of R&D policies/programmes are investigated, interpreted and examined."

#### What was the evaluation in the Czech Republic?

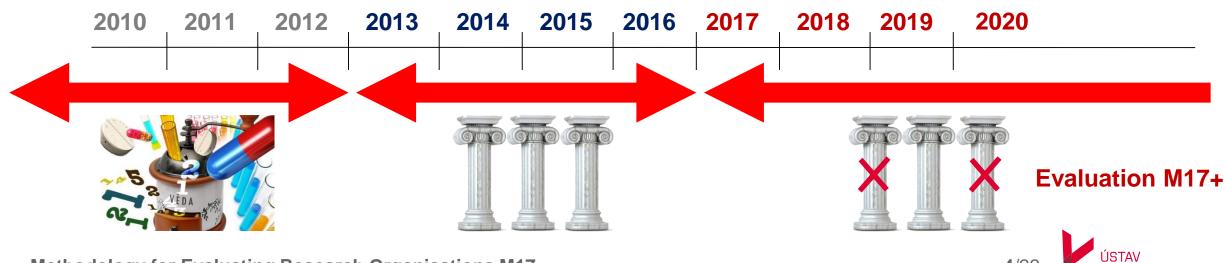


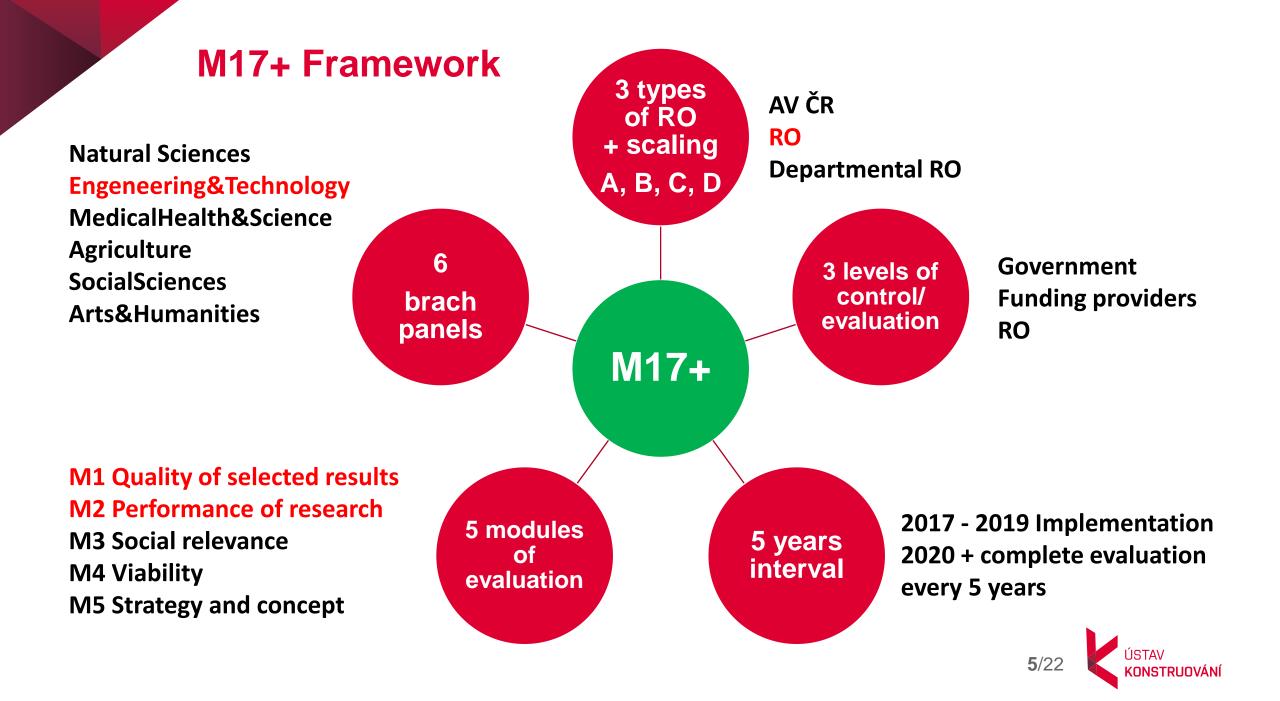
 It relies solely on the quantification of research outputs for the evaluation of research organisations and research programmes. It is predominantly monitoring exercise. Institutional support is allocated mechanically using the rule of three.



## State of The Art - Evaluation methodology in the CZ

- 1st Version 2004
- 2nd Version 2006 (index SR everything is compared to the average)
- 3rd Version 2009 (expanding the types of acceptable results)
- 4th Version 2010 (changing the definitions of results)
- 5th Version 2013-2016 (restrictions in eligible R&D results)
- 6th IPN Metodika New concept of the Czech research evaluation M17+





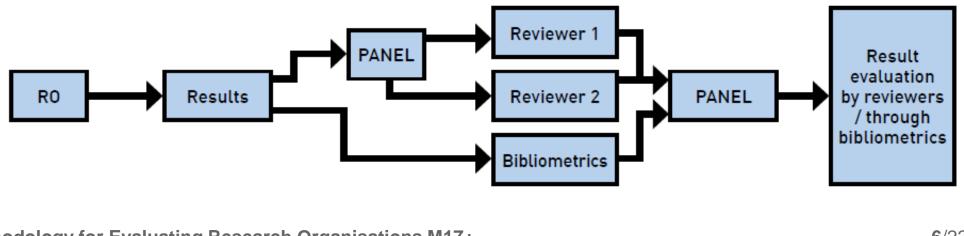
## **Modules of Evaluation M17+**

#### M1 - Quality of selected results

A limited number of selected results compared with international levels.

- Contribution to the knowledge in the given areas (especially for fundamental research).
- Social impact (especially for applied research).

For the evaluation in 2019 will be applied results from 1/2014 till 12/2018.



## **M1 - Quality of selected results**

#### M1 - Qualitative Scale - contribution to knowledge (especially for basic research results)

- (1) Results that are world-leading in terms of originality, significance and efforts required to obtain the results
- (2) Results that are internationally excellent but not top level...
- (3) Results that are recognised internationally...
- (4) Results that are recognised nationally...
- (5) Results that fail to meet the standard...

#### M1 - Qualitative Scale - social relevance (especially for applied research)

- (1) World-leading results (will bring a critical change with international economic or social impact)
- (2) Excellent results...
- (3) Very good results (will bring change with economic impact in the Czech market or social impact)
- (4) Average results...
- (5) Below-average results...



### **M1 – SKV POPR for expert panels and evaluators**

SKV SYSTEM	
Result preview	
Deadline for review: 23.11.2017 Accept to review Reject review	
Organization:	Vysoké učení technické v Brně/Fakulta strojního inženýrství
Result:	Kryt svařovací helmy s automaticky řízeným pneumatickým přesunem ochranného skla
Science area:	2. Engineering and Technology
Ford:	2.3 Mechanical engineering
Specialization:	Mechanical engineering
Criterion:	Social relevancy
Annotation: Keywords:	Technické řešení se týká krytu sveřovací helmy s ochranným sklem, kde do korpusu sveřovací helmy je vložen rám krytu, který má na dvou protilehlých stranách vytvořené podélně drážky, v kterých je uložen rámeček s ochranným sklem. Rámeček dosedá na levou patku umístěnou kolmo k jedné straně rámu s drážkou a na pravou patku umístěnou kolmo k druhé straně rámu s drážkou. Levá patka je spojená s vratnou pružinou připevněnou k rámu a pravá patka je spojená s pístní tyčí pístu propojeného s pneumatickým ventilem spojeným s elektromagnetickým ovládáním. Elektromagnetické ovládání je připojeno k fototranzistoru připevněnému k rámu krytu. welding, automatic pneumatic control, protective helmet
Autors:	Lacko, Branislav
Result type:	F
Reason:	Užitný vzor představuje zejména zvýšení bezpečnosti práce a zvýšení produktivity výroby. Při ručním svařování se v současnosti používá řada automatikých svařovacích helem, které se snaží ulehčit svařečům jejich práci při zajištění potřebné ochrany. Většina z nich však využívá samostmívacích efektů (např. sada svařovacích helem VarioProtect® apod.), ve snaze uvolnit svařečům při svařování obě ruce. Dosavadní použítá řešeni jsou poměrně drahá. Proto nejsou používá na v malých firmách, soukromými řemeslníky a kutily. Výhoda navrženého řešení spočívá ve využívá stlačeného vzduchu buď z malých bomblček, nebo z dilenského rozvodu stlačeného vzduchu a možností současných nizkých cen automatizačních prostředků. Předložené řešení je jednoduché a levné. Lze ho realizovat také tak, aby se dalo namontovat na starší kryty pro svářeče. Zařizení je navrženo tak, aby výchozí poloha ochraného skla, vždy chránila pracovníka před intenzivním světlem svařovacího oblouku i v okamžiku výpadku stlačeného vzduchu nebo elektroniky. Přitom rychlost přesunu ochraného skla o bezpočnpostní polohy stlačeným vzduchem je rychlojší, než průběh stmívacího efektu. Protože svařování v současnosti představuje velmi využívaný prostředek pro spojování součástí, má předložené řešení mimořádně velký hospodářský víznam.
Reference to the repository with result text:	*
Supporting information	



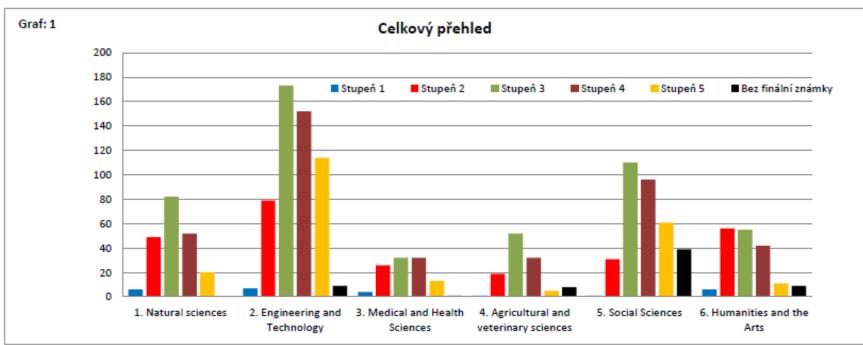
### **M1 – SKV POPR for expert panels and evaluators**

Deadline for review:	02.12.2017				
Score *	① 1 - World-leading	O 2 - Excellent	🔿 3 - Very good	🔿 4 - Average	○ 5 - Below the average
A Description of the Qu	ualitative Scale				
	ts, the practical utilization of which will anal impact on society (real likeliness to			ess to have a broad application on mu	Itiple international markets, etc.) or a change with an
			economic impact (real likeliness to have	an application on multiple internationa	I markets, etc.) or a change with a significant impact on
	to have a critical application in spheres				
<ol> <li>Very good results, t</li> </ol>	he practical utilization of which will brin	ig about a change with an economic i	mpact in the Czech market or a change w	ith an impact on society (real likeliness	to have an application in spheres of public interest).
		g about a partial change with an econ	omic impact in the Czech market or a part	tial change with an impact on Czech so	ociety (real likeliness to have a partial application in
spheres of public intere	esty-				
		likely to bring about no change with a	an economic impact or no change with an	impact on Czech society (no real likeli	ness to have an application in spheres of public interest
5) Below-average resu Justification for the	ilts, the practical application of which is	ation or which will bring about a childra ational markets, etc.) or a change with	an economic impact or no change with an increase when an international economics an extraordinary international impact on	mpact treat likeliness to nave a	ness to have an application in spheres of public interes
	oreaung results, the practical application of which is broad application on multiple interna a critical international application in (2) Excellent results, the practical u	ation or which will bring about a critica ational markets, etc.) or a change with spheres of public interest). tilization of which will bring about a c	n change with an international economics	society (real likeliness to have a	ness to have an application in spheres of public interes
5) Below-average resu Justification for the	<ul> <li>a critical application of which is broad application on multiple interna a critical international application in</li> <li>(2) Excellent results, the practical upplication on multiple international spheres of public interest).</li> <li>(3) Very good results, the practical upplication</li> </ul>	ation or which will oring about a crucc ational markets, etc.) or a change with spheres of public interest). tilization of which will bring about a c markets, etc.) or a change with a sign	a change when an international economic in an extraordinary international impact on hange with an international economic imp ificant impact on society (real likeliness t change with an economic impact in the C	society (real likeliness to have a society (real likeliness to have bact (real likeliness to have an o have a critical application in	ness to have an application in spheres of public interes
5) Below-average resu Justification for the	<ul> <li>its, the practical application of which is</li> <li>broad application on multiple interna a critical international application in</li> <li>(2) Excellent results, the practical u application on multiple international spheres of public interest).</li> <li>(3) Very good results, the practical an impact on society (real likeliness</li> <li>(4) Average results, the practical application</li> </ul>	ation or which will bring about a critica ational markets, etc.) or a change with spheres of public interest). tilization of which will bring about a c markets, etc.) or a change with a sign utilization of which will bring about a to have an application in spheres of p oplication of which will bring about a p	a change when an international economic in an extraordinary international impact on hange with an international economic imp ificant impact on society (real likeliness t change with an economic impact in the C	society (real likeliness to have a society (real likeliness to have bact (real likeliness to have an o have a critical application in zech market or a change with	ness to have an application in spheres of public interes
5) Below-average resu Justification for the	<ul> <li>a critical application of which is broad application on multiple interna a critical international application in</li> <li>(2) Excellent results, the practical unapplication on multiple international spheres of public interest).</li> <li>(3) Very good results, the practical is an impact on society (real likeliness)</li> <li>(4) Average results, the practical application on Czech society</li> <li>(5) Below-average results, the practical to practical is an impact on society (real likelines)</li> </ul>	ation or which will bring about a critica ational markets, etc.) or a change with spheres of public interest). tilization of which will bring about a c markets, etc.) or a change with a sign utilization of which will bring about a to have an application in spheres of p oplication of which will bring about a p ciety (real likeliness to have a partial a	a change with an international economic in an extraordinary international impact on hange with an international economic imp inficant impact on society (real likeliness t change with an economic impact in the Ca ublic interest). artial change with an economic impact in pplication in spheres of public interest). ing about no change with an economic im	annpact (real likeliness to have a society (real likeliness to have an o have a critical application in zech market or a change with the Czech market or a partial	ness to have an application in spheres of public interes



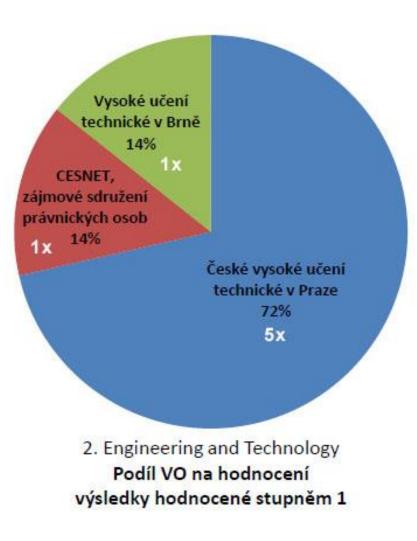
#### **M1 - Quality of selected results - research areas**

Finální známka	1. Natural sciences	2. Engineering and Technology	3. Medical and Health Sciences	4. Agricultural and veterinary sciences	5. Social Sciences	6. Humanities and the Arts	Suma
Stupeň 1	6	7	4	1	1	6	25
Stupeň 2	49	79	26	19	31	56	260
Stupeň 3	82	173	32	52	110	55	504
Stupeň 4	52	152	32	32	96	42	406
Stupeň 5	20	114	13	5	61	11	224
Bez finální známky	0	9	1	8	39	9	66
Suma	209	534	108	117	338	179	1 485

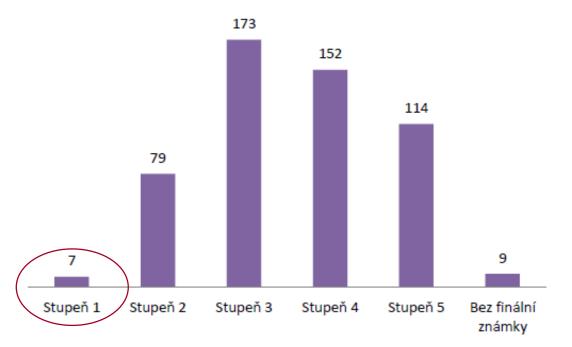




### **M1 - Quality of selected results**



#### 2. Engineering and Technology Počet hodnocení finální známkou



Tab.: 3 - Podíl VO na hodnocení - výsledky hodnocené stupněm (1,2)

Vědní obor/VO	Počet známek stupeň (1,2)	Podíl
2. Engineering and Technology	86	100%
České vysoké učení technické v Praze	35	41%
Západočeská univerzita v Plzni	8	9%
Vysoké učení technické v Brně	8	9%
Vysoká škola chemicko-technologická v Praze	5	6%
Vysoká škola báňská - Technická univerzita Ostrava	5	6%



### **M1 - Quality of selected results**

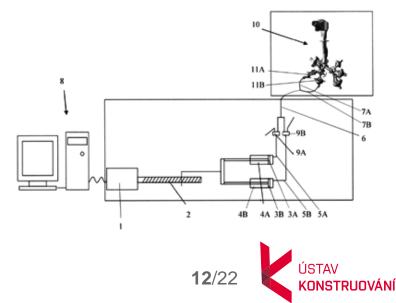
CZ Patent 306136 – Simulátor dýchání (VUT)

#### . . . . . . .

#### Hodnoceno kritériem společenské relevance:

(1) Výsledek na špičkové úrovni (world-leading), jehož využití v praxi přinese zásadní změnu s mezinárodním ekonomickým dopadem (reálný předpoklad širokého uplatnění na více zahraničních trzích atd.), nebo změnu s mimořádným dopadem mezinárodního charakteru na společnost (reálný předpoklad zásadního uplatnění na mezinárodní úrovni v oblastech veřejného zájmu).





## **Modules of Evaluation M17+**

#### **M2 -** Performance of research

Includes the productivity, quality and competitiveness in the R&D.

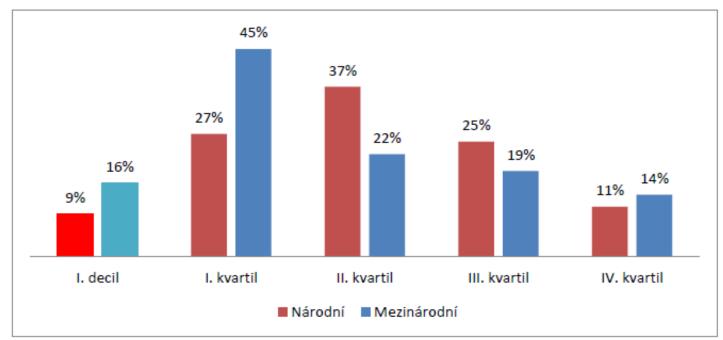
- Bibliometric data within evaluated period (fundamental research Jimp, Jsc, D, source = WoS, SJR).
- Article Influence Score (AIS) quartiles (consider citations, number of articles).
- Quantitative indicators (applied research).
- Quantity and structure of the obtained R&D funds (projects, contractual research).
- The number and structure of employees.



## M2 – Mechanical engineering

Graf 02b: Srovnání podílů národních a mezinárodních výsledků (článků) oboru v prvním decilu a v kvartilech podle AIS časopisu.

#### 2.3 Mechanical engineering



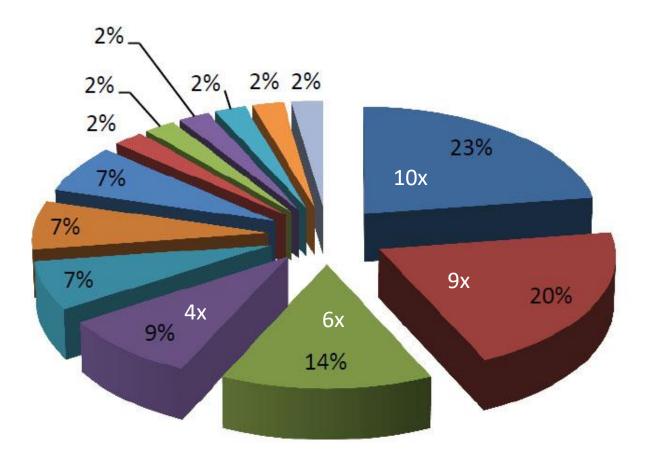
Tabulka 01b: Mezinárodní hranice prvního decilu a kvartilů podle pořadí časopisů a podle pořadí článků na základě AIS.

2.3 Mechanical engineering

Pásmo	Pořadí časopisů	Pořadí článků
I. decil	0,888	0,922
I. kvartil	0,639	0,749
II. kvartil	0,373	0,553
III. kvartil	0,214	0,304
IV. kvartil	0	0



## M2 - Mechanical engineering, 1st decile (AIS)

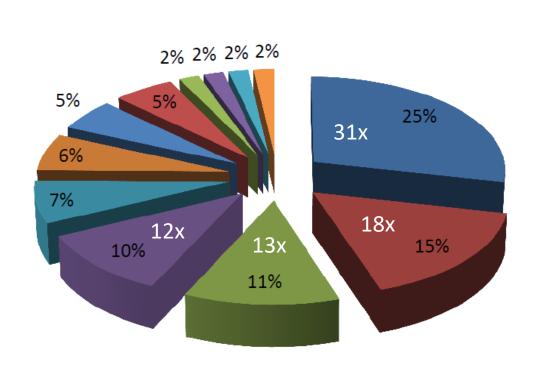


Univerzita Karlova

- České vysoké učení technické v Praze
- Vysoká škola báňská Technická univerzita Ostrava
- Vysoké učení technické v Brně
- Ústav termomechaniky AV ČR, v. v.
- Matematický ústav AV ČR, v. v. i.
- Ústav fyziky materiálů AV ČR, v. v. i.
- Fyzikální ústav AV ČR, v. v. i.
- Ústav struktury a mechaniky hornin AV ČR, v. v. i.
- Univerzita Pardubice



## M2 - Mechanical engineering, 1st quartile (AIS)



- Vysoké učení technické v Brně
- České vysoké učení technické v Praze
- Univerzita Karlova
- Ústav fyziky materiálů AV ČR, v. v. i.
- Ústav termomechaniky AV ČR, v. v. i.
- Vysoká škola báňská Technická univerzita Ostrava
- Západočeská univerzita v Plzni
- Matematický ústav AV ČR, v. v. i.
- Fyzikální ústav AV ČR, v. v. i.
- Ústav chemických procesů AV ČR, v. v. i.



					Journal - Mechanical Engineering	AIS Quartile 2016
					AEROSOL SCIENCE AND TECHNOLOGY	Q1
					Advances in Applied Mechanics	Q1
					COMBUSTION AND FLAME	Q1
					EXPERIMENTAL THERMAL AND FLUID SCIENCE	Q1
	J = Mach	anical eng	ninoorina		EXPERIMENTS IN FLUIDS	Q1
	2 - 19166116	anical chi	JIIEEIIIU		IEEE-ASME TRANSACTIONS ON MECHATRONICS	Q1
			J		INTERNATIONAL JOURNAL OF FATIGUE	Q1
					INTERNATIONAL JOURNAL OF HEAT AND FLUID FLOW	01
					INTERNATIONAL JOURNAL OF HEAT AND MASS TRANSFER	Q1
					INTERNATIONAL JOURNAL OF IMPACT ENGINEERING	01
					INTERNATIONAL JOURNAL OF MACHINE TOOLS & MANUFACTURE	Q1
VYSOKÉ UČE	ENI				INTERNATIONAL JOURNAL OF MECHANICAL SCIENCES	Q1
					INTERNATIONAL JOURNAL OF PLASTICITY	Q1
TECHNICKÉ					INTERNATIONAL JOURNAL OF THERMAL SCIENCES	Q1
TEOTIMORE	4				International Journal of Precision Engineering and Manufacturing-Green	
V BRNĚ					Technology	Q1
VDRINE					JOURNAL OF AEROSOL SCIENCE	Q1
					JOURNAL OF FLUIDS AND STRUCTURES	Q1
VO4b: oborové srovnání po	odílů výsledků výzkumné orc	ganizace a mezinárodních výs	sledků v		JOURNAL OF SOUND AND VIBRATION	Q1
		janizace a mezinarounich vys			MECHANICAL SYSTEMS AND SIGNAL PROCESSING	Q1
n decilu a v kvartilech podle.	AIS poradi casopisú.				NONLINEAR DYNAMICS	Q1
					Nanoscale and Microscale Thermophysical Engineering	01
					PROBABILISTIC ENGINEERING MECHANICS	01
					PROCEEDINGS OF THE COMBUSTION INSTITUTE	Q1
					PROGRESS IN ENERGY AND COMBUSTION SCIENCE	Q1
	22	8 Mechanical engin	peering		WIND ENERGY	Q1
	55% <b>2.3</b>	iviechanical engin	leening		APPLIED THERMAL ENGINEERING	Q1
	5570				JOURNAL OF ENGINEERING MECHANICS	01
					JOURNAL OF ENGINEERING	01
	45%				MECHATRONICS	
					RAPID PROTOTYPING JOURNAL	Q1 01
					TRIBOLOGY INTERNATIONAL	<u>01</u>
		220/	25%		WEAR	<mark>Q1</mark>
		22%	19%			
16%		16%	19%	1 4 0 /	FATIGUE & FRACTURE OF ENGINEERING MATERIALS & STRUCTURES	Q2
				14%	Friction	<mark>Q2</mark>
7%				40/		
				4%	INTERNATIONAL JOURNAL OF PRESSURE VESSELS AND PIPING	Q2
					INTERNATIONAL JOURNAL OF REFRIGERATION-REVUE	00
					INTERNATIONALE DU FROID	Q2
					International Journal of Engine Research	Q2
I. decil	I. kvartil	II. kvartil	III. kvartil	IV. kvartil	IOLIDNAL OF HEAT TRANSFER TRANSFORMED THE ACCTU	00
i. uccli	1. Kvartii	n. Kvartn		IV. KVALUI	JOURNAL OF HEAT TRANSFER-TRANSACTIONS OF THE ASME	Q2
					JOURNAL OF MANUFACTURING SCIENCE AND ENGINEERING-	01
	Výzkumná c	organizace 🛛 🗖 mezina	árodní hodnoty		TRANSACTIONS OF THE ASME	Q2
			arounnounoty		JOURNAL OF MECHANICAL DESIGN	Q2
					JOURNAL OF SANDWICH STRUCTURES & MATERIALS	Q2
					JOURNAL OF VIBRATION AND ACOUSTICS-TRANSACTIONS OF THE ASME	Q2
					JOURNAL OF VIBRATION AND CONTROL	02
						· · · · · · · · · · · · · · · · · · ·
					Journal of Mechanisms and Robotics-Transactions of the ASME	Q2
					MECHANISM AND MACHINE THEORY	Q2
					DROCEEDINGS OF THE INSTITUTION OF MECHANICAL PACETERS	
					PROCEEDINGS OF THE INSTITUTION OF MECHANICAL ENGINEERS	02
					PART F-JOURNAL OF RAIL AND RAPID TRANSIT	Q2

Q2

Q2

Q2

Q2

Structure and Infrastructure Engineering

VEHICLE SYSTEM DYNAMICS

TRIBOLOGY LETTERS

THEORETICAL AND APPLIED FRACTURE MECHANICS

### M2 - Mechanical engineering

0,639

0,411



Tabulka a graf VO4d: Porovnání národního oborového mediánu pořadí článků s oborovými mediány výzkumné organizace (výpočet je proveden pouze pro obory s počtem výsledků ≥ 10)

Vysoké učení technické v Brně

Obor	Medián oboru pro VO	Národní medián oboru	Poměr k národnímu mediánu
1.1 Mathematics	0,27	0,67	40%
1.2 Computer and information sciences	0,518	0,684	76%
1.3 Physical sciences	0,478	0,862	55%
1.4 Chemical sciences	0,5935	0,614	97%
1.5 Earth and related environmental sciences	0,643	0,766	84%
1.6 Biological sciences	0,672	0,758	89%
1.7 Other natural sciences	1,053	1,053	100%
2.1 Civil engineering	0,624	0,654	95%
2.2 Electrical engineering, Electronic engineering, Information engineering	0,214	0,376	57%
2.3 Mechanical engineering	0,639	0,411	155%

Tabulka a graf VO4c: Porovnání mezinárodního oborového mediánu pořadí článků s oborovými mediány výzkumné organizace (výpočet je proveden pouze pro obory s počtem výsledků ≥ 10)

Vysoké učení technické v Brně

Obor	Medián oboru pro VO	Mezinár. medián oboru	Poměr k mezinár. mediánu
1.1 Mathematics	0,27	0,669	40%
1.2 Computer and information sciences	0,518	0,593	87%
1.3 Physical sciences	0,478	0,614	78%
1.4 Chemical sciences	0,5935	0,634	94%
1.5 Earth and related environmental sciences	0,643	0,74	87%
1.6 Biological sciences	0,672	0,855	79%
1.7 Other natural sciences	1,053	1,053	100%
2.1 Civil engineering	0,624	0,624	100%
2.2 Electrical engineering, Electronic engineering, Information engineering	0,214	0,56	38%
2.3 Mechanical engineering	0,639	0,553	116%

2.3 Mechanical engineering



0,639

0,553

2.3 Mechanical engineering

## **Modules of Evaluation M17+**

#### M3 Social relevance (evaluated unit - faculty)

- Results transfer to the practice, cooperation with industry, impact on society, economic benefits, etc.
- Participation of students in research activities: implementation of doctoral students, prestigious award, mobility, popularization, etc.

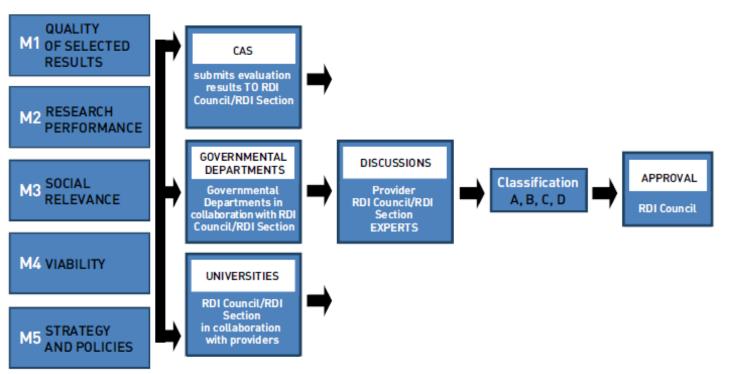
#### M4 Viability (evaluated unit - university)

- Research environment organization scheme, quality of research management, personnel policy, structure of human resources, research infrastructure.
- International and national co-operation membership in the global and national research community.
- Financing from external sources success in obtaining projects, successfully completed grant projects, the position of RO according to international indicators and statistics.

## **Modules of Evaluation M17+**

#### M5 Strategy and concept (evaluated unit - university)

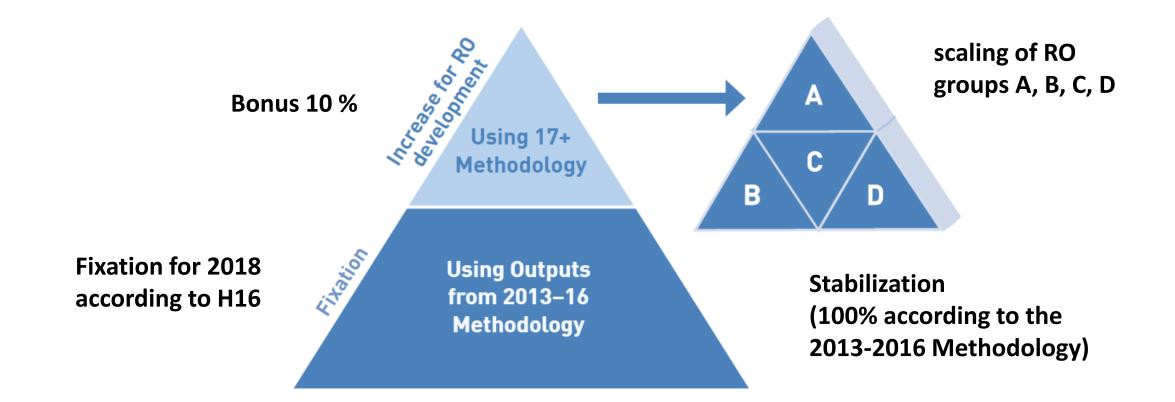
• Mission of RO (purpose, strategic direction), concept, visions for the next period.



Full Five-year Evaluation



### **Relationship of evaluation and financing M17 +**





### **Conclusions**

- M1 apply high-quality results that are not directly output of the projects.
  - evaluation depends highly on the description of the result
- M2 independently on the number of authors.
  - to compare AIS before submitting
- In the first decile some smaller sub-categories have not a relevant journal, more general journals e.g.: (Annual Review of Fluid Mechanics, Applied Mechanics Reviews, JOURNAL OF THE MECHANICS AND PHYSICS OF SOLIDS, PROGRESS IN AEROSPACE SCIENCES).
- The number of publications in Nature or Science will never be a very relevant indicator of excellence in Mechanical Engineering.



# **DĚKUJI VÁM ZA POZORNOST**

Petr Svoboda

email@prednasejiciho.cz



www.**ustavkonstruovani**.cz

apps.webofknowledge.com/WOS_GeneralSearch_input.	do			○ 🗙 ᠵ 💟 🔤
Web of Science InCites Journal Citation Reports	Essential Science Indicators EndNote	Publons		Sign In 👻 Help 👻 English 👻
Web of Science				Clarivate Analytics
Search		٦	Tools 👻 Searches and alerts 👻	Search History Marked List
Select a database Web of Science Core Col	lection -	Learn More		Get one-click access to full-text
Basic Search Cited Reference Search	Advanced Search + More			
Example: oil spill* mediterranean		Topic	Search Search tips	
Timespan All years (1945 - 2018) More settings				
Brno University Of Technology	What's new 💌	Feedback and support 💌	Resources 💌	
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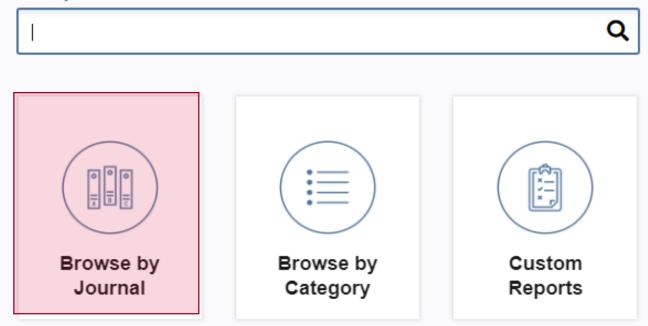
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